



006846 USA/CPS/IBSS

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: LIN, et al

Assignee: Applied Materials, Inc.

Title: Halogen-Resistant, Anodized Aluminum
For Use In Semiconductor Processing Apparatus

Serial No.: 10/071,869

Filed: 02/08/2002

Group Art Unit: 2812

Examiner: Unknown

Atty. Docket: 006846 USA/CPS/IBSS

COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

Attention: Manager, Application Division

RESPONSE TO NOTICE TO FILE MISSING PARTS
OF APPLICATION - FILING DATE GRANTED

Sir:

In response to the "Notice to File Missing Parts of Application - Filing Date Granted" mailed by the United States Patent and Trademark Office on **4/12/2002**, the following documents are enclosed to complete the filing of the above-identified patent application:

1. Combined Declaration and Power of Attorney signed by the inventor(s) in compliance with 37 CFR 1.63 (**1 document with 5 pages**)
2. Copy of "Notice to File Missing Parts of Application - Filing Date Granted" (Form PTO-1533)

The United States Patent and Trademark Office is hereby authorized to charge the following fees to Deposit Account No. 50-1074 (a duplicate of this document is enclosed):

| | | |
|---|--|----------------|
| x | Filing Fee - Original Patent Application [37 CFR 1.16(a)] (\$740.00) | 740.00 |
| x | Fees for Claims: | 0 |
| x | each independent claim in excess of 3 [37 CFR 1.16(f)] (\$84.00) | 0 |
| x | each claim in excess of 20 [37 CFR 1.16(c)] (\$18.00) | 522.00 |
| | each multiple dependent claim(s) [37 CFR 1.16(d)] (\$280.00) | 280.00 |
| | SUB TOTAL | 1542.00 |

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05 FC:118 1440.00 CH

- ☐ Applicant petitions for an extension of time, the fees for which are set out in 37 CFR 1.17(a)-(d), for the total number of months checked below:

| <u>Extension (months)</u> | <u>Fee (for other than small entity)</u> |
|--|--|
| <input type="checkbox"/> 1 month | \$110.00 |
| <input type="checkbox"/> 2 months | \$400.00 |
| <input type="checkbox"/> 3 months | \$920.00 |
| <input checked="" type="checkbox"/> 4 months | \$1,440.00 |

If an additional extension of time is required please consider this a petition therefor.

☒ Late filing Fee **\$130.00**

Total fees: **\$312.00**

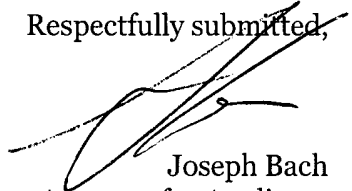
- ☒ The Commissioner is hereby authorized to charge **\$312.00** and any additional fees which may be required, or credit any overpayment, to Deposit Account No. 50-1074.

It is hereby respectfully submitted that the enclosed documents complete the filing of the above-named patent application and justify the filing date of **2/8/2002**. Please telephone the Susana Antunes at (408)-986-3923 if there are any questions regarding this matter.

APPLIED MATERIALS, INC.
Legal Affairs Department
3050 Bowers Ave., MS/2634
Santa Clara, CA. 95054

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C., 20231, on 9-16
by [Signature]

Respectfully submitted,


Joseph Bach
Attorney for Applicant
Registration No. 37,771



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/071,869 | 02/08/2002 | Yixing Lin | AM-6846 |

PATENT COUNSEL
APPLIED MATERIALS, INC.
LEGAL Affairs Department
P.O. Box 450A
Santa Clara, CA 95052

CONFIRMATION NO. 8725

FORMALITIES LETTER



OC000000007859971

REC'D APR 19 2002

Date Mailed: 04/12/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

09/25/2002 HBERHE 00000089 501074 10071869

FILED UNDER 37 CFR 1.53(b)

| | |
|-----------|-----------|
| 01 FC:101 | 740.00 CH |
| 02 FC:105 | 130.00 CH |
| 03 FC:103 | 522.00 CH |
| 04 FC:104 | 280.00 CH |

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$802.
 - \$522 for 29 total claims over 20.
 - \$280 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1672.**

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| | |
|-----------|-----------|
| 01 FC:102 | 84.00 CH |
| 02 FC:103 | 144.00 CH |

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE